

CS 3300
Intro to Software Engineering

SOFTWARE TESTING

GENERAL CONCEPTS

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Slides are based on Alex Orso.

Software is Buggy!

- On average, 1-5 errors per 1KLOC
- Windows 2000
 - 35M LOC
 - 63,000 known bugs at the time of release
 - 2 per 1,000 lines
- For mass market software 100% correct is infeasible, but
- We must **verify** the SW as much as possible

Failure, Fault, Error

Failure

Observable incorrect behavior of a program. Conceptually related to the behavior of the program, rather than its code.

Fault (bug)

Related to the code. Necessary (not sufficient!) condition for the occurrence of a failure.

Error

Cause of a fault. Usually a human error (conceptual, typo, etc.)

Failure, Fault, Error: Example

```
1. double doubleValue(int param) {  
2.     double result;  
3.     result = (double) param * param;  
4.     return(result);  
5. }
```

A call to double(3) returns 9

Result 9 represents a **failure**

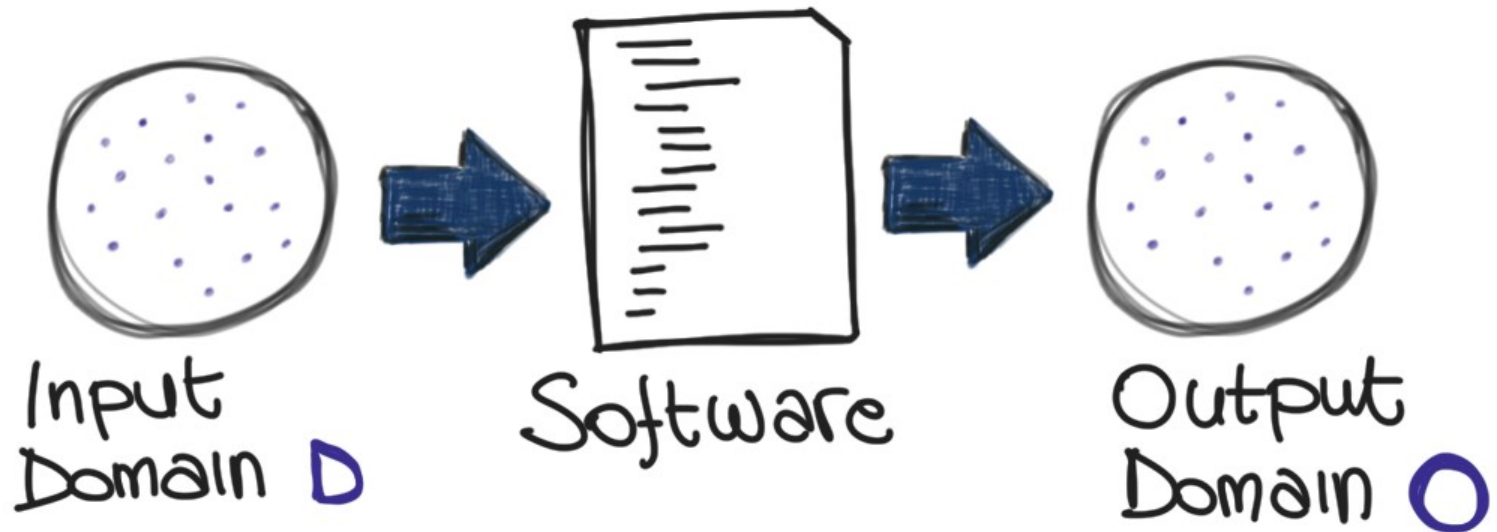
Such failure is due to the **fault** at line 3

The **error** is a typo (hopefully)

Approaches to Verification

- **Testing (dynamic verification)**: exercising software to try and generate failures
- **Static analysis**: identify (specific) problems statically, that is, considering all possible executions
- **Inspections/reviews/walkthroughs**: systematic group review of program text to detect faults
- **Formal verification (proof of correctness)**: proving that the program implements the program specification

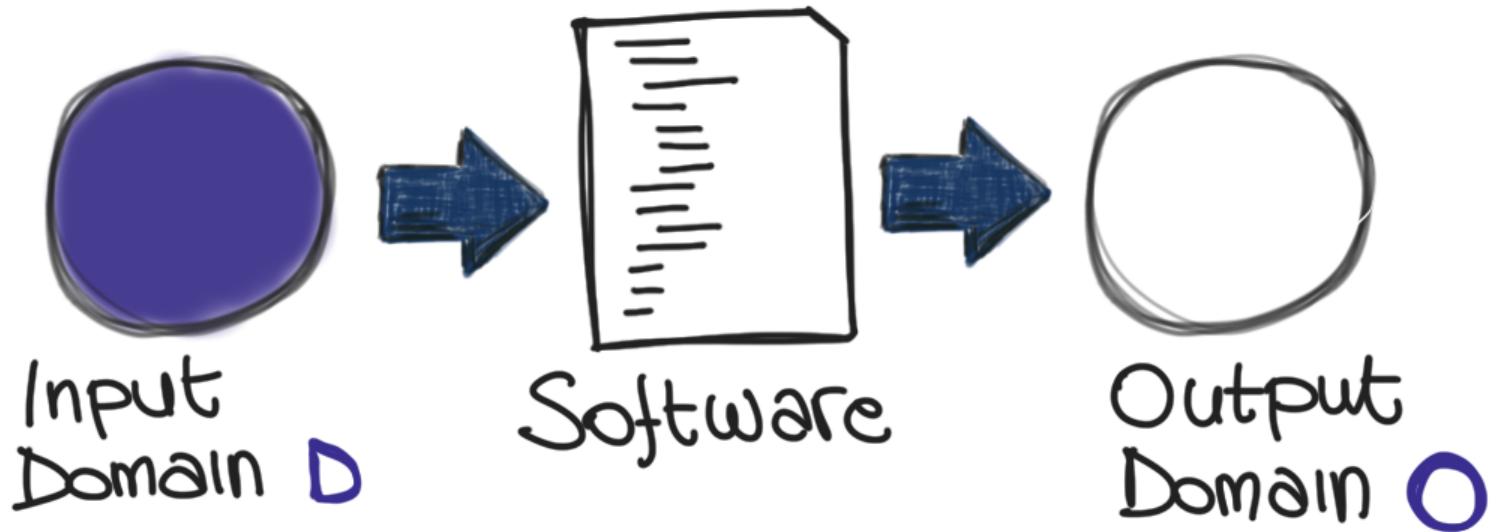
Testing (dynamic verification)



Test case: $\{i \in D, o \in O\}$

Test suite: set of test cases

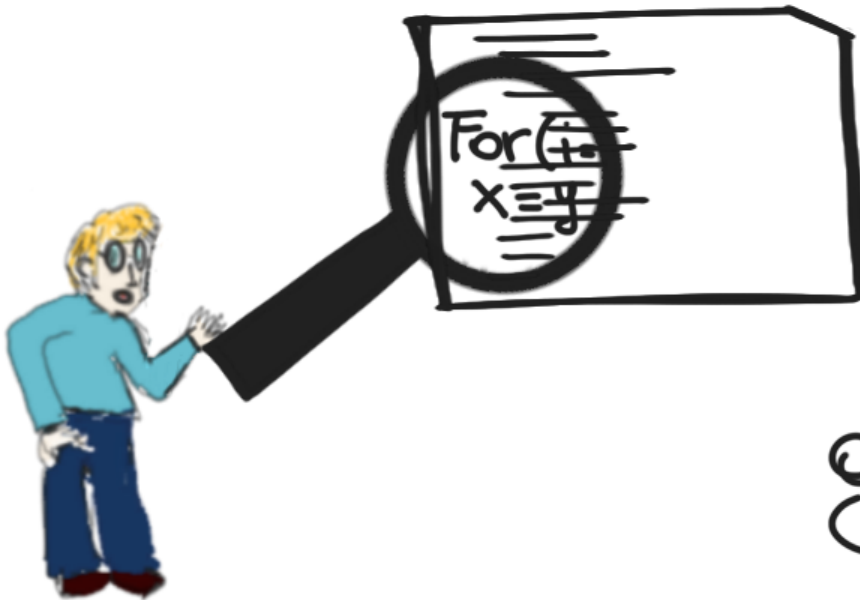
Static analysis



Considers all possible inputs (executions)

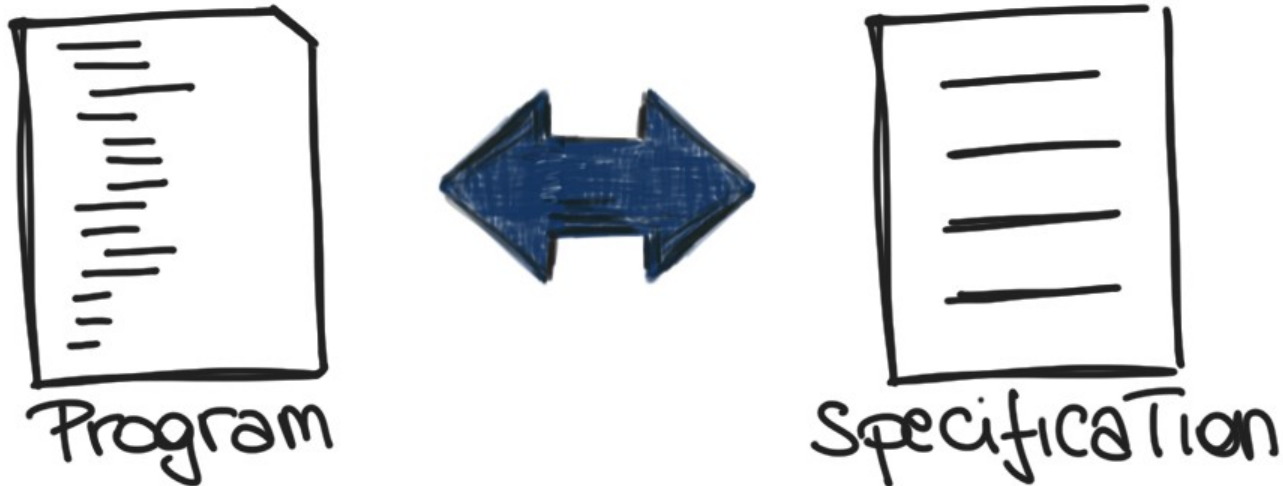
Inspections (AKA

- reviews
- walkthroughs)



Manual
group activity

Formal verification (proof of correctness)



Given a formal specification, checks that the code corresponds to such specification

Comparison

Testing

- Pros: no false positives
- Limits: incomplete

Static analysis

- P: complete (consider all program behaviors)
- L: false positives, expensive

Inspections

- P: systematic, thorough
- L: informal, subjective

Formal verification

- P: strong guarantees
- L: complex, expensive (requires a spec)



TODAY, QA IS MOSTLY TESTING

"50% of my company employees are Testers,
and The rest spends 50% of Their Time Testing"
Who said That?



TODAY, QA IS MOSTLY TESTING

"50% of my company employees are Testers,
and The rest spends 50% of Their Time Testing"

Who said That?

- Yogi Berra
- Steve Jobs
- Henry Ford
- Bill Gates
- Frank Gehry

What is Testing?

Testing == To execute a program with a sample of the input data

- Dynamic technique: program must be executed
- Optimistic approximation:
 - The program under test is exercised with a (very small) subset of all the possible input data
 - We **assume** that the behavior with any other input is consistent with the behavior shown for the selected subset of input data

Testing Techniques

There are a number of techniques

- Different processes
- Different artifacts
- Different approaches

There are no perfect techniques

- Testing is a best-effort activity

There is no best technique

- Different contexts
- Complementary strengths and weaknesses
- Trade-offs

TESTING GRANULARITY LEVELS

TESTING GRANULARITY LEVELS

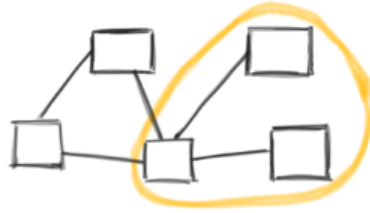


Unit Testing

TESTING GRANULARITY LEVELS



Unit Testing

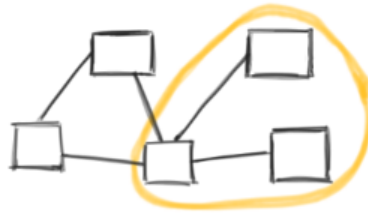


Integration Testing

TESTING GRANULARITY LEVELS



Unit Testing



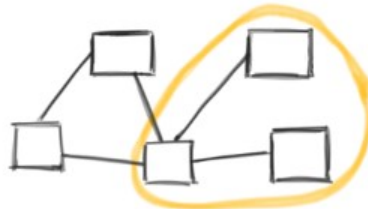
Big
Bang

Integration Testing

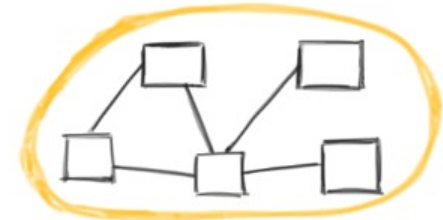
TESTING GRANULARITY LEVELS



Unit Testing



Integration Testing



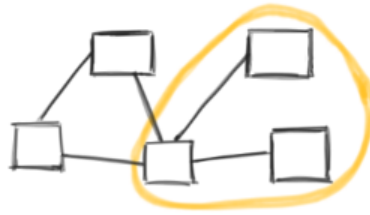
System Testing

reliability
maintainability
usability
*ility

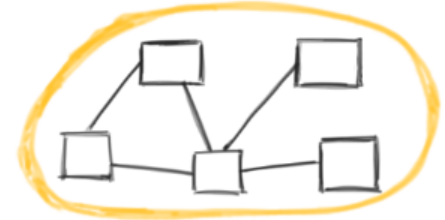
TESTING GRANULARITY LEVELS



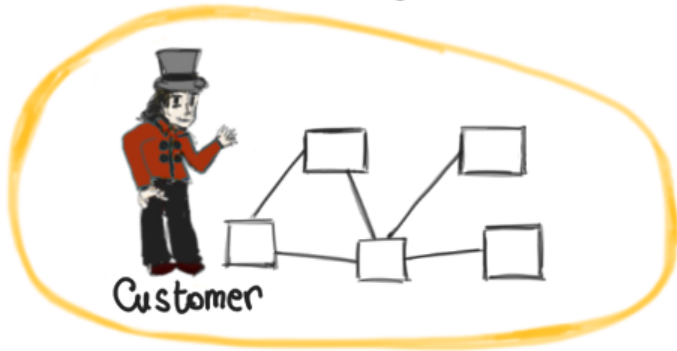
Unit Testing



Integration Testing



System Testing

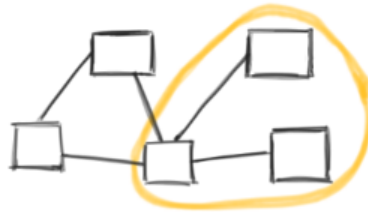


Acceptance Testing

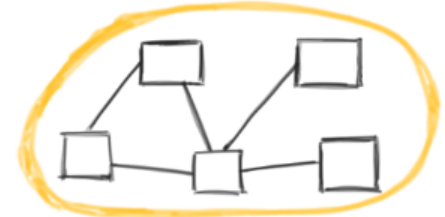
TESTING GRANULARITY LEVELS



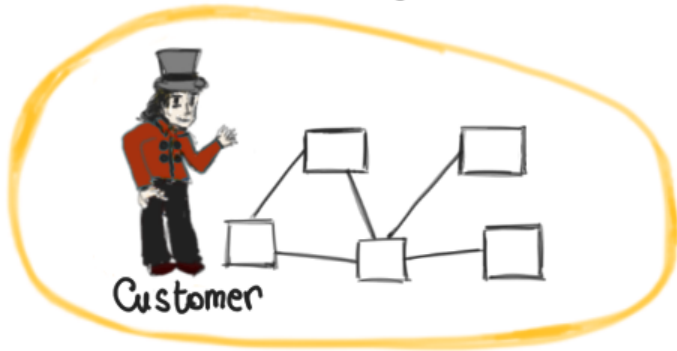
Unit Testing



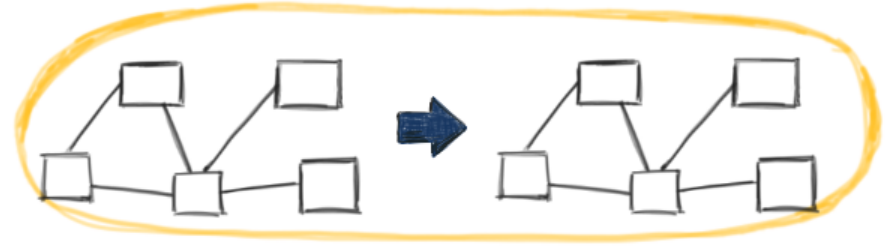
Integration Testing



System Testing



Acceptance Testing

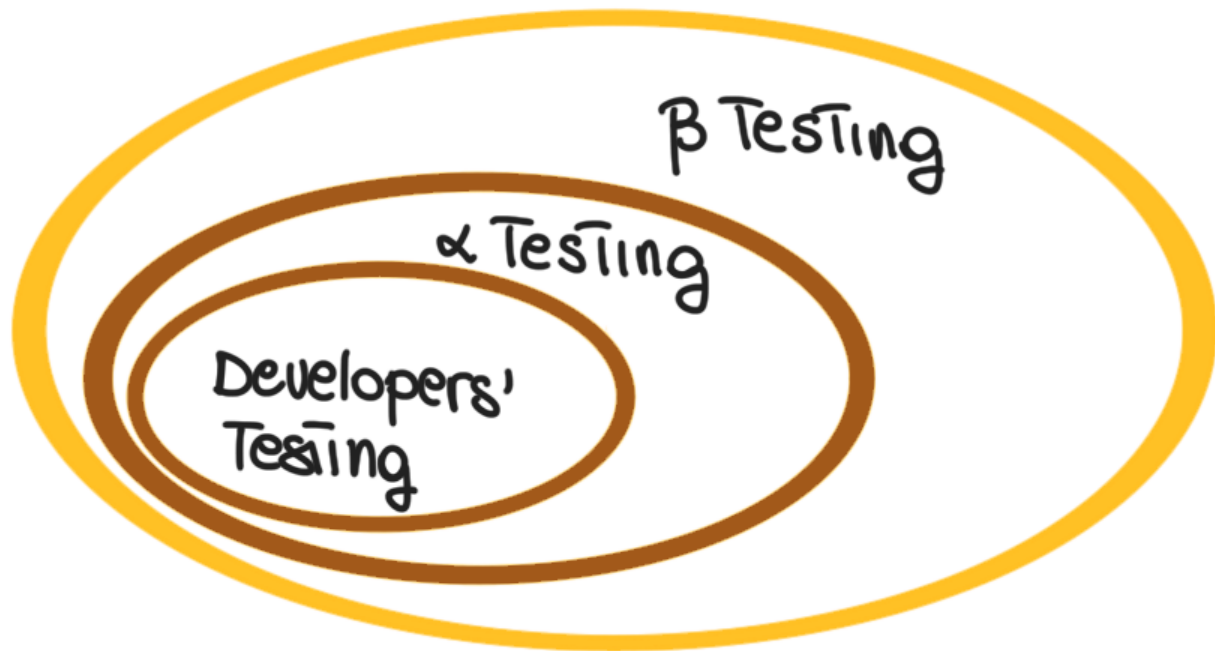


Regression Testing



Developers'
Testing





Developers'
Testing

α Testing

β Testing

Product
Release

Within The organization

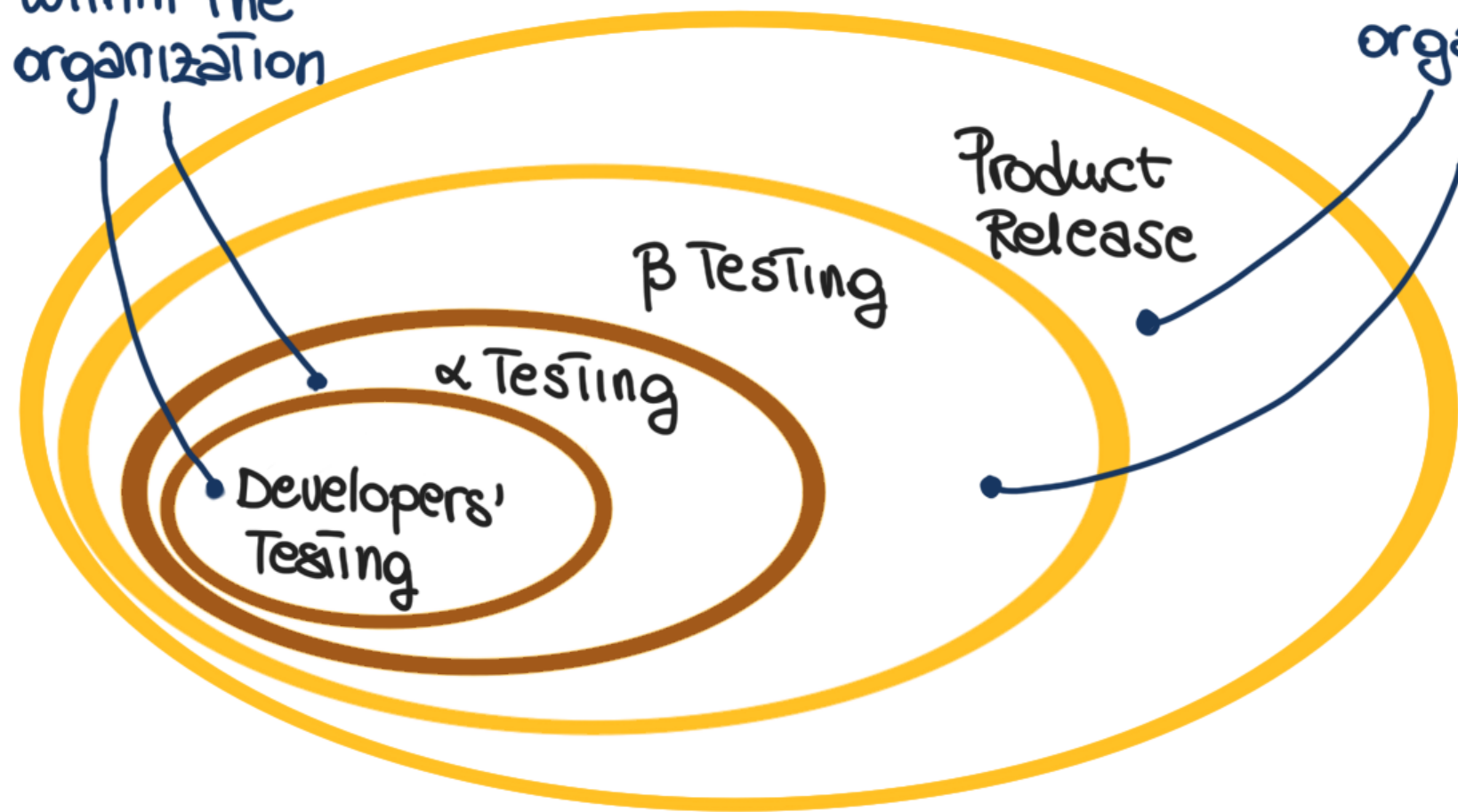
Outside The organization

Product Release

β Testing

α Testing

Developers' Testing

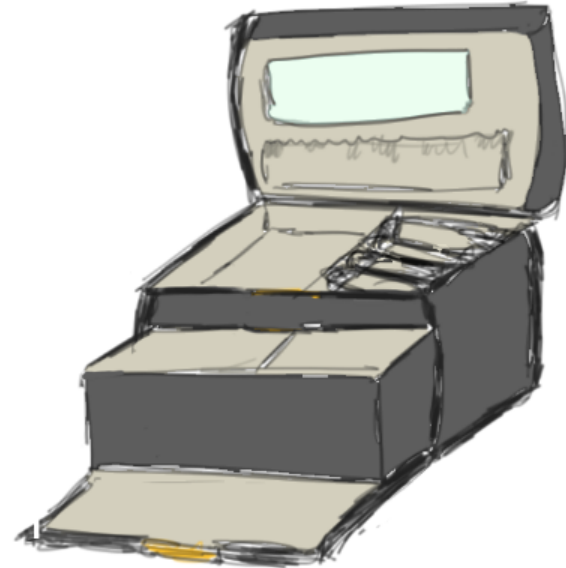


Functional vs. Structural Testing





BLACK-BOX TESTING

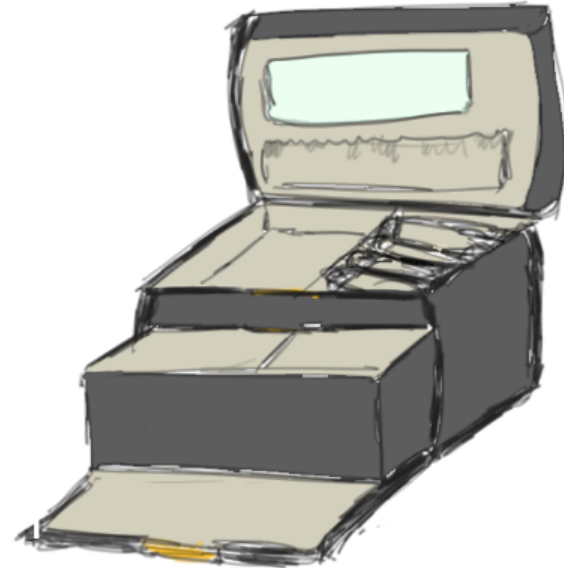


WHITE-BOX TESTING



BLACK-BOX TESTING

- based on a description of the software (specification)
- cover as much specified behavior as possible
- cannot reveal errors due to implementation details

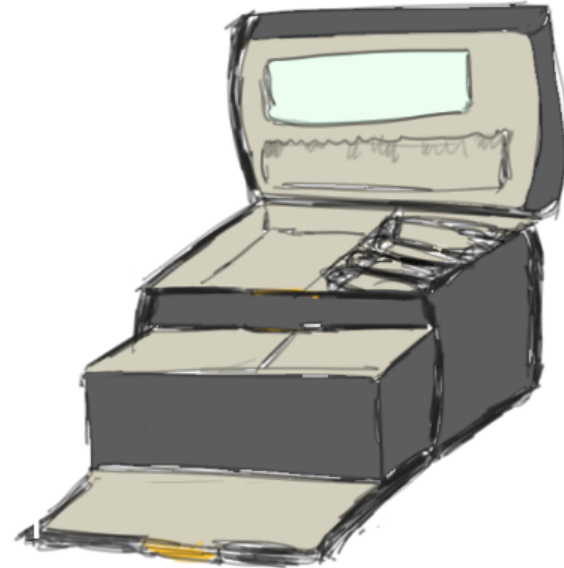


WHITE-BOX TESTING



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WHITE-BOX TESTING

- based on the code
- cover as much coded behavior as possible
- cannot reveal errors due to missing paths

BLACK-BOX TESTING EXAMPLE

Specification: inputs an integer and prints it

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Specification: inputs an integer and prints it

```
1. void printNumBytes ( param )  
2.   if (param < 1024) printf ("%d", param);  
3.   else printf ("%d KB", param/1024);  
4. }
```


WHITE-BOX TESTING EXAMPLE

```
1. int fun(int param){  
2.   int result;  
3.   result = param/2;  
4.   return result;  
5. }
```

WHITE-BOX TESTING EXAMPLE

Specification: inputs an integer param and returns half of its value if even, its value otherwise

```
1. int fun(int param){  
2.   int result;  
3.   result = param/2;  
4.   return result;  
5. }
```

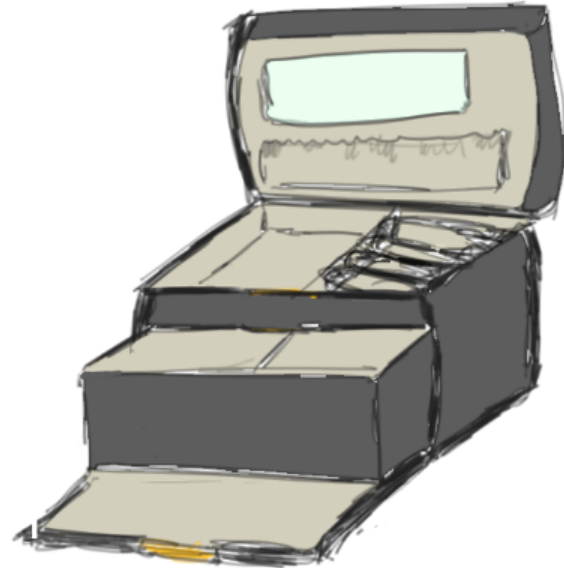
SOFTWARE TESTING

GENERAL CONCEPTS



BLACK-BOX TESTING

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- cover as much specified behavior as possible
- cannot reveal errors due to implementation details



WHITE-BOX TESTING

- based on the code
- cover as much coded behavior as possible
- cannot reveal errors due to missing paths

BLACK-BOX TESTING



Advantages

- focus on the domain
- No need for the code
⇒ early test design
- Catches logic defects
- Applicable at all granularity levels

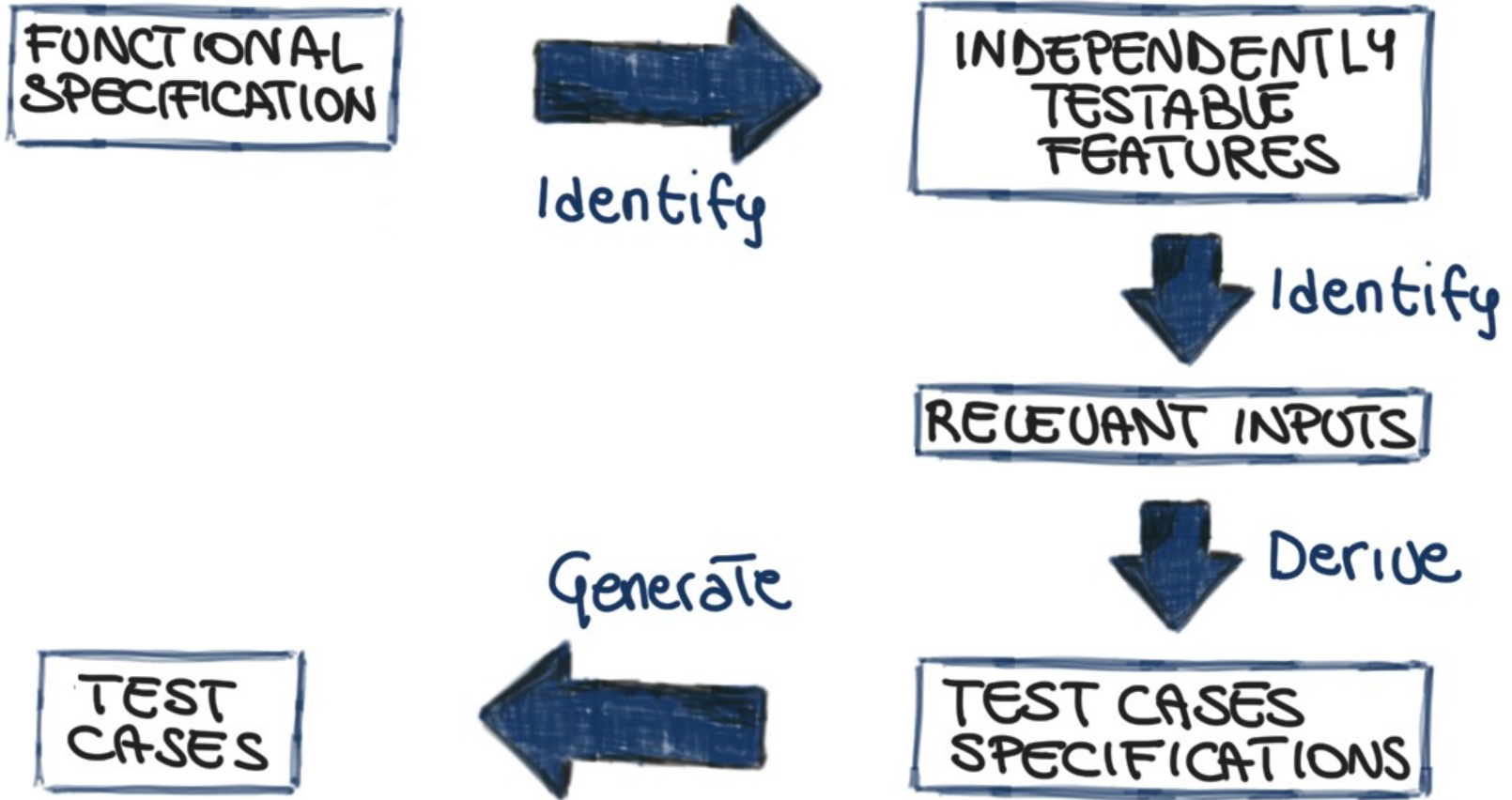
FROM SPECIFICATIONS TO TEST CASES

FUNCTIONAL
SPECIFICATION



TEST
CASES

A SYSTEMATIC FUNCTIONAL-TESTING APPROACH



A SYSTEMATIC FUNCTIONAL-TESTING APPROACH

FUNCTIONAL
SPECIFICATION



Identify

INDEPENDENTLY
TESTABLE
FEATURES



IDENTIFYING TESTABLE FEATURES

```
printSum(int a, int b)
```

How many independently testable features do we have here?

[] 1

[] 2

[] 3

[] > 3

A SYSTEMATIC FUNCTIONAL-TESTING APPROACH

FUNCTIONAL
SPECIFICATION



Identify

INDEPENDENTLY
TESTABLE
FEATURES

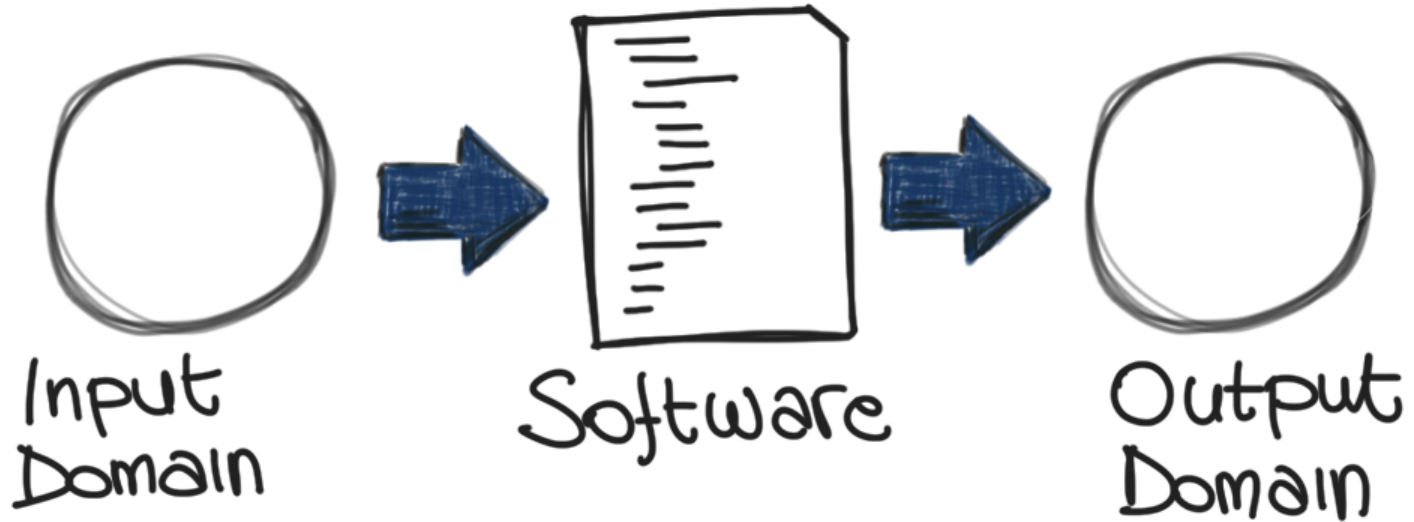
A SYSTEMATIC FUNCTIONAL-TESTING APPROACH

INDEPENDENTLY
TESTABLE
FEATURES



RELEVANT INPUTS

TEST DATA SELECTION

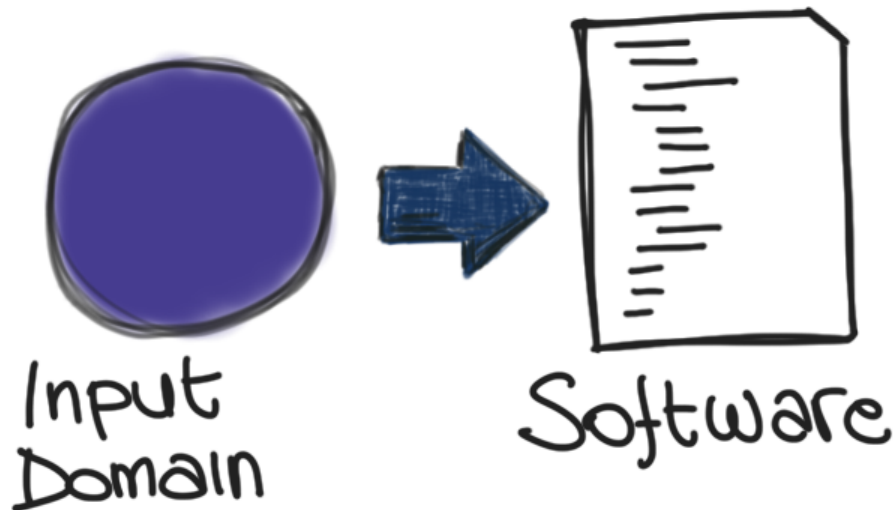


STRAW-MAN IDEA: EXHAUSTIVE TESTING!





STRAW-MAN IDEA: EXHAUSTIVE TESTING!



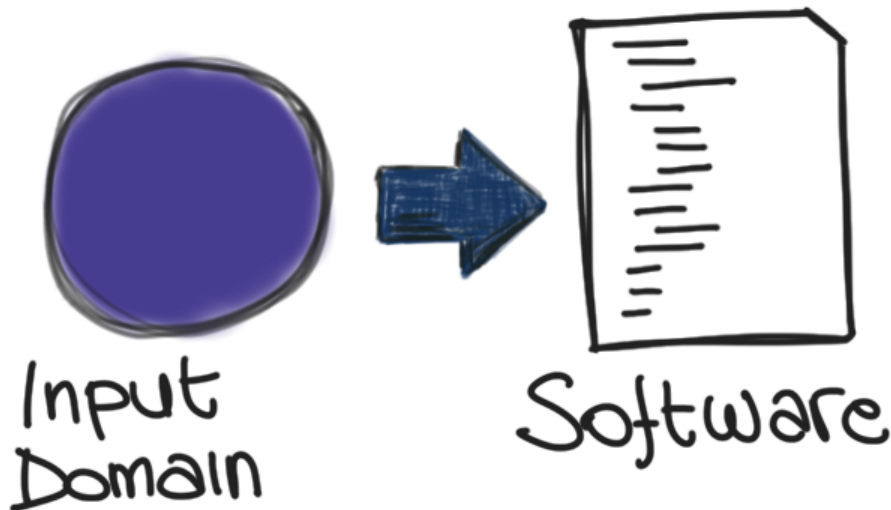
How long would it
take to exhaustively
test the function

`printSum(int a, int b)?`

[]



STRAW-MAN IDEA: EXHAUSTIVE TESTING!



How long would it
take to exhaustively
test the function

```
printSum(int a, int b)?
```

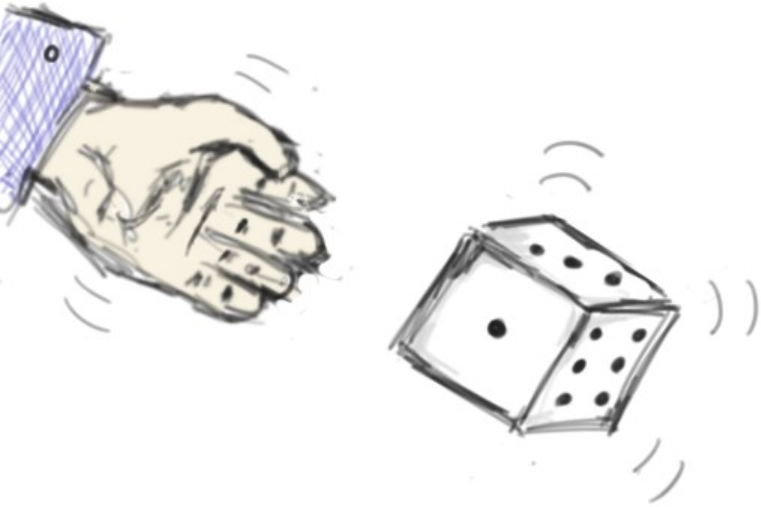
$$2^{32} \times 2^{32} = 2^{64} \cong 10^{19} \text{ tests}$$

1 test per nanosecond (10^9 tests/sec)

=> 10^{10} seconds

[~600 years]

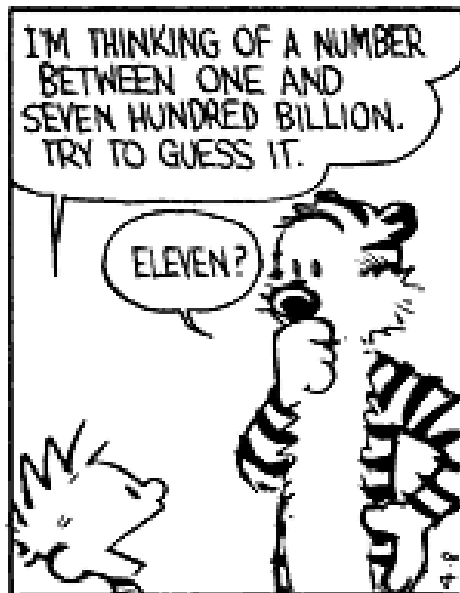
RANDOM TESTING



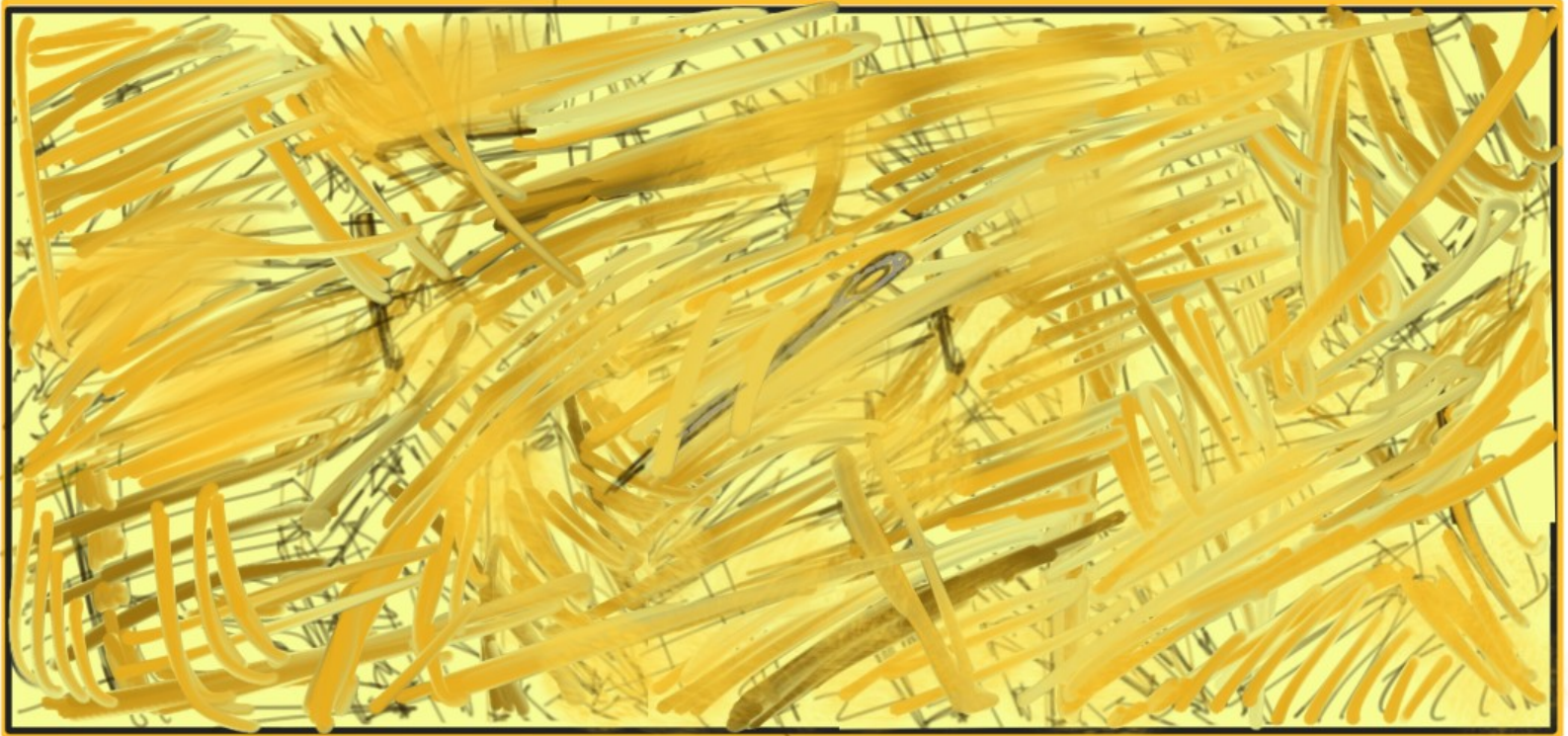
- pick inputs uniformly
- all inputs considered equal
- no designer bias



SO WHY NOT RANDOM?



SO WHY NOT RANDOM ?



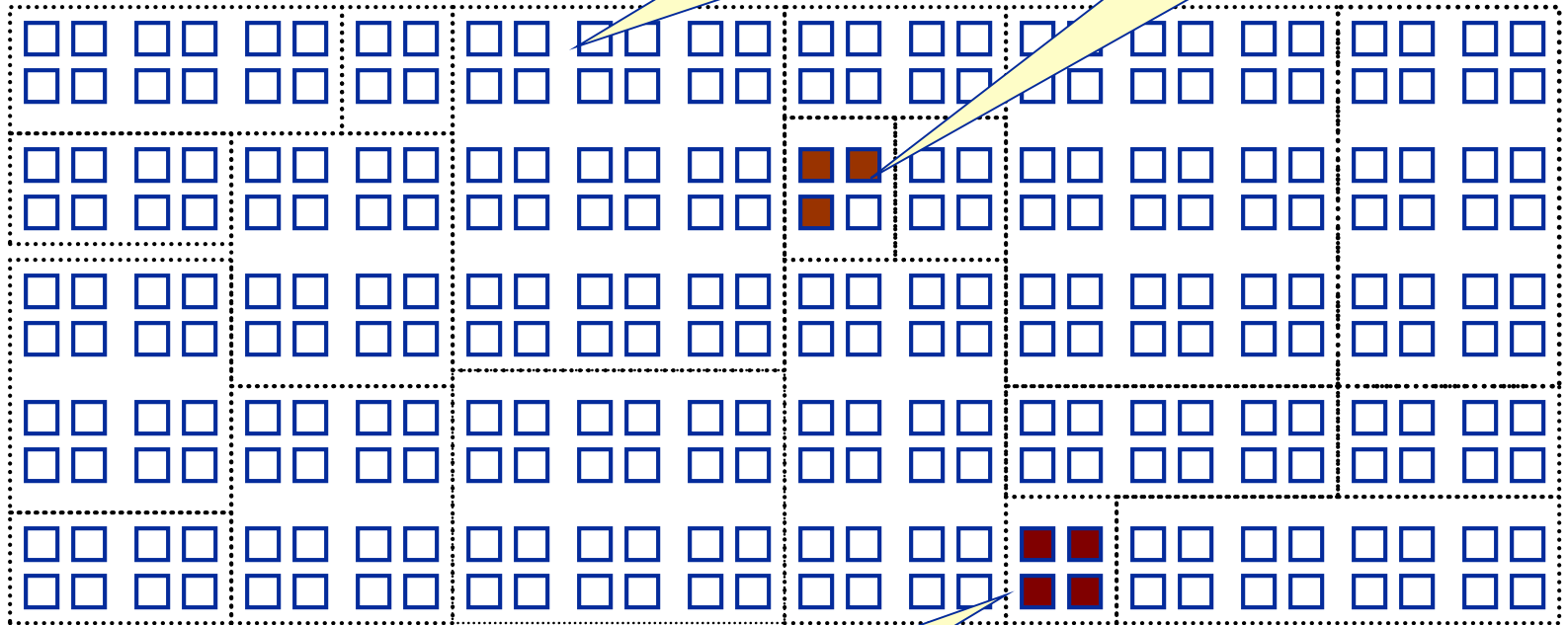
Systematic Partition Testing

- Failure (valuable test case)
- No failure

Failures are sparse in the space of possible inputs ...

... but dense in some parts of the space

The space of possible input values
(the haystack)



If we systematically test some cases from each part, we will include the dense parts

Functional testing is one way of drawing lines to isolate regions with likely failures

EXAMPLE

Split (string str, int size)

Some possible partitions:

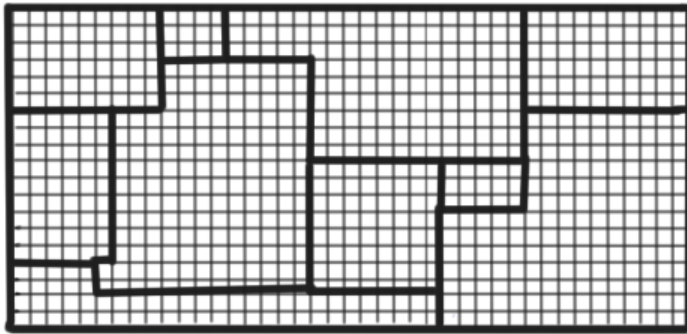
EXAMPLE

Split (string str, int size)

Some possible partitions:

- size $< \emptyset$
- size = \emptyset
- size $> \emptyset$
- str with length $< \text{size}$
- str with length in $[\text{size}, \text{size} \times 2]$
- str with length $> \text{size} \times 2$
- ...

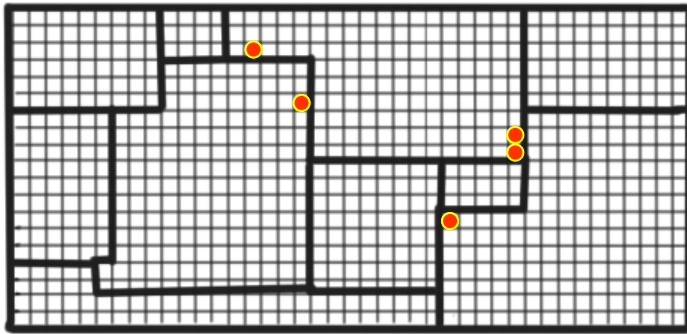
BOUNDARY VALUES



Basic idea

Errors tend to occur at the boundary of a (sub)domain

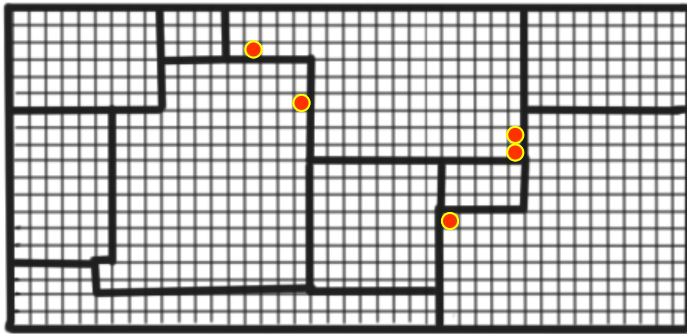
BOUNDARY VALUES



Basic idea

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BOUNDARY VALUES



Basic idea

Errors tend to occur at the boundary of a (sub)domain

⇒ Select inputs at these boundaries

EXAMPLE

Split (string str, int size)

Some possible partitions:

- size $< \emptyset$
- size = \emptyset
- size $> \emptyset$
- str with length $< \text{size}$
- str with length in $[\text{size}, \text{size} \times 2]$
- str with length $> \text{size} \times 2$
- ...

EXAMPLE

Split (string str, int size)

Some possible partitions:

- size < 0
- size = 0
- size > 0
- str with length < size
- str with length in [size, size * 2]
- str with length > size * 2

Some possible inputs

EXAMPLE

Split (string str, int size)

Some possible partitions:

- size < 0
 - size = 0
 - size > 0
- | | |
|--|---------------------------------------|
| | - str with length < size |
| | - str with length in [size, size * 2] |
| | - str with length > size * 2 |

Some possible inputs

- size = -1
 - size = 1
 - size = MAXINT
- | | |
|--|-------------------------------|
| | - string with length size - 1 |
| | - string with length size |
| | - ... |

A SYSTEMATIC FUNCTIONAL-TESTING APPROACH

INDEPENDENTLY
TESTABLE
FEATURES



RELEVANT INPUTS

A SYSTEMATIC FUNCTIONAL-TESTING APPROACH

RELEVANT INPUTS



Derive

TEST CASES
SPECIFICATIONS

EXAMPLE

Split (string str, int size)

Some possible partitions:

- size < 0
 - size = 0
 - size > 0
- | | |
|---------------------------------------|---------------------------------------|
| - str with length < size | - str with length < size |
| - str with length in [size, size * 2] | - str with length in [size, size * 2] |
| - str with length > size * 2 | - str with length > size * 2 |

Some possible inputs

- size = -1
- size = 1
- size = MAXINT
- string with length size - 1
- string with length size
- ...

EXAMPLE

Split (string str, int size)

Some possible inputs

- size = -1

- size = 1

- size = MAXINT

- string with length size - 1

- string with length size

- ...

EXAMPLE

Split (string str, int size)

Some possible inputs

- size = -1
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EXAMPLE

Split (string str, int size)

Some possible inputs

- size = -1
- size = 1
- size = MAXINT
- string with length size - 1
- string with length size
- ...

Test case specifications

EXAMPLE

Split (string str, int size)

Some possible inputs

- size = -1
 - size = 1
 - size = MAXINT
 - ...
- X
- string with length size - 1
 - string with length size
 - ...

Test case specifications

- size = -1 str with length - 2
- size = -1 str with length - 1
- size = 1 str with length 0
- ...

EXAMPLE

Split (string str, int size)

Some possible inputs

- size = -1
- size = 1
- size = MAXINT
- ...
- string with length size - 1
- string with length size
- ...

Test case specifications

- ~~- size = 1 str with length 2~~
- ~~- size = 1 str with length 1~~
- size = 1 str with length 0
- ...

A SYSTEMATIC FUNCTIONAL-TESTING APPROACH

RELEVANT INPUTS



Derive

TEST CASES
SPECIFICATIONS

A SYSTEMATIC FUNCTIONAL-TESTING APPROACH

Implement test cases in code

Requires building scaffolding

- Drivers
- Stubs

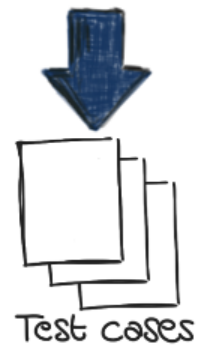


A SPECIFIC BLACK-BOX TESTING APPROACH

THE CATEGORY-PARTITION METHOD

[Ostrand & Balcer, CACM, June 1988]







1. Identify independently testable features
2. Identify categories
3. Partition categories into choices
4. Identify constraints among choices
5. Produce/Evaluate test case specifications
6. Generate test cases from test case specifications



IDENTIFY CATEGORIES

Characteristics of each input element

Example: `split(string str, int size)`

IDENTIFY CATEGORIES

Characteristics of each input element

Example: `split(string str, int size)`

Input str
- length

Input size
- value

- content

PARTITION CATEGORIES INTO CHOICES

Interesting cases (subdomains)

Example: split (string str, int size)

Input str
- length

Input size
- value

- content

PARTITION CATEGORIES INTO CHOICES

Interesting cases (subdomains)

Example: split (string str, int size)

Input str

- length
- 0
- size - 1
- ...
- content

Input size

- value

PARTITION CATEGORIES INTO CHOICES

Interesting cases (subdomains)

Example: split (string str, int size)

Input str

- length

- 0

- size - 1

- ...

- content

- spaces

- special characters

- ...

Input size

- value

PARTITION CATEGORIES INTO CHOICES

Interesting cases (subdomains)

Example: split (string str, int size)

Input str

- length

- 0

- size - 1

- ...

- content

- spaces

- special characters

- ...

Input size

- value

- 0

- > 0

- < 0

- MAXINT

- ...

IDENTIFY CONSTRAINTS AMONG CHOICES

To eliminate meaningless combinations

To reduce the number of test cases

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To reduce the number of test cases

Three types: PROPERTY ... IF, ERROR, SINGLE

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Three types: PROPERTY ... IF, ERROR, SINGLE

Examples

Input str

- length

- 0

- content

- special characters

Input size

- value

- < 0

- MAXINT:

IDENTIFY CONSTRAINTS AMONG CHOICES

To eliminate meaningless combinations

To reduce the number of test cases

Three types: PROPERTY ... IF, ERROR, SINGLE

Examples

Input str

- length

- 0 PROPERTY zero value

- content

- special characters

Input size

- value

- < 0

- MAXINT:

IDENTIFY CONSTRAINTS AMONG CHOICES

To eliminate meaningless combinations

To reduce the number of test cases

Three types: PROPERTY ... IF, ERROR, SINGLE

Examples

Input str

- length

- 0 PROPERTY zero value

- content

- special characters if ! zero value

Input size

- value

- < 0

- MAXINT:

IDENTIFY CONSTRAINTS AMONG CHOICES

To eliminate meaningless combinations

To reduce the number of test cases

Three types: PROPERTY ... IF, ERROR, SINGLE

Examples

Input str

- length

- 0 PROPERTY zero value

- content

- special characters if ! zero value

Input size

- value

- < 0

- MAXINT:

IDENTIFY CONSTRAINTS AMONG CHOICES

To eliminate meaningless combinations

To reduce the number of test cases

Three types: PROPERTY ... IF, ERROR, SINGLE

Examples

Input str

- length

- 0 PROPERTY zero value

- content

- special characters if ! zero value

Input size

- value

- < 0 ERROR

- MAX INT SINGLE

PRODUCE AND EVALUATE TEST CASE SPECIFICATIONS

Can be automated

Produces test frames

PRODUCE AND EVALUATE TEST CASE SPECIFICATIONS

Can be automated

Produces test frames

Example

Test frame #36

input str

length: size - 1

content: special characters

input size

value: > 0

GENERATE TEST CASES FROM TEST CASE SPECIFICATIONS

Simple instantiation of frames

Final result : set of concrete tests

GENERATE TEST CASES FROM TEST CASE SPECIFICATIONS

Simple instantiation of frames

Final result : set of concrete tests

Example

Test case #36
str = "ABCC!\n\n[\0"
size = 10

A SYSTEMATIC FUNCTIONAL-TESTING APPROACH

FUNCTIONAL SPECIFICATION



Identify

INDEPENDENTLY TESTABLE FEATURES



Identify

RELEVANT INPUTS



Derive

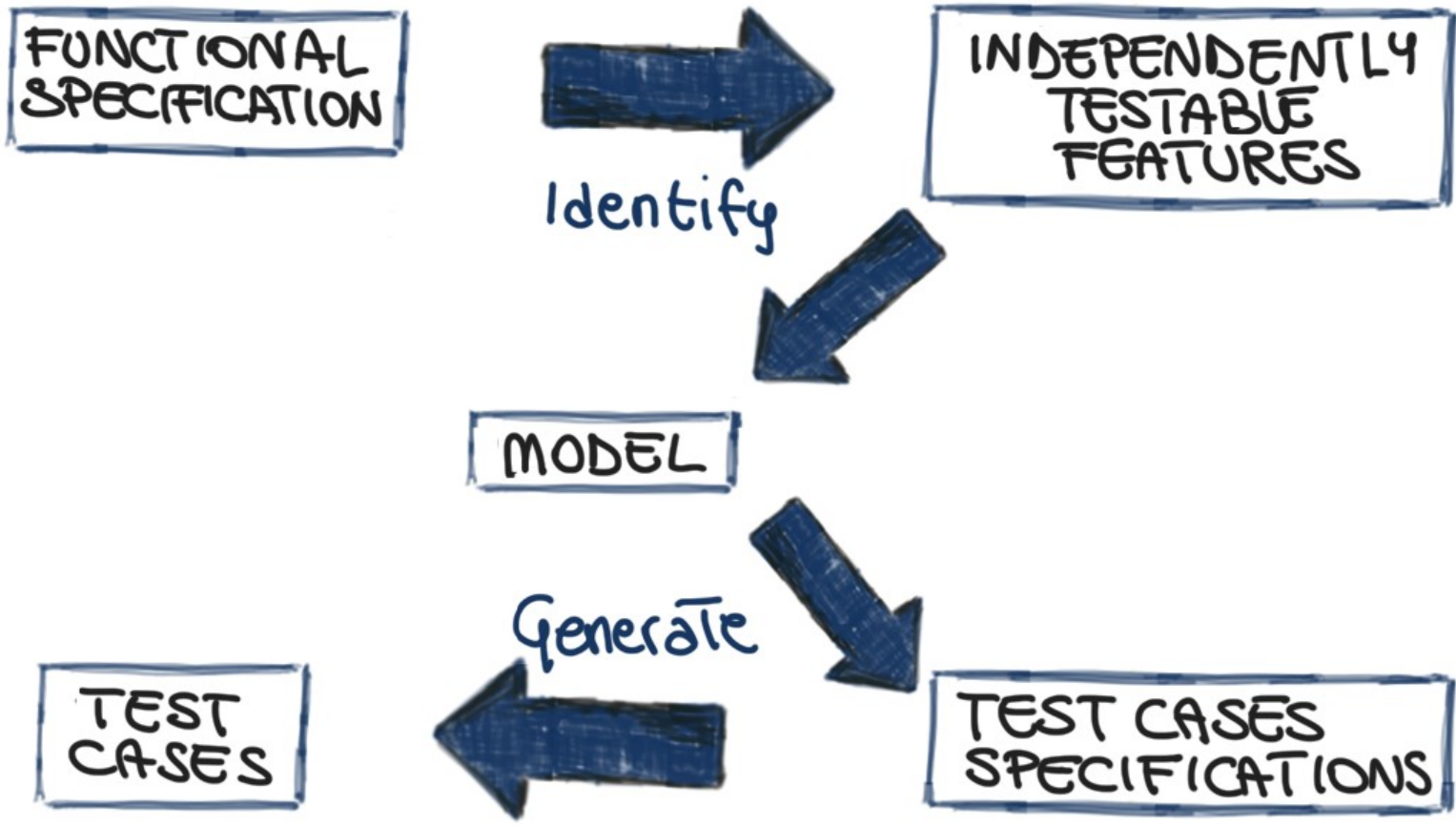
TEST CASES SPECIFICATIONS

Generate



TEST CASES

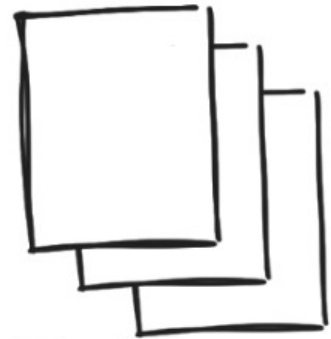
A SYSTEMATIC FUNCTIONAL-TESTING APPROACH



MODEL-BASED TESTING

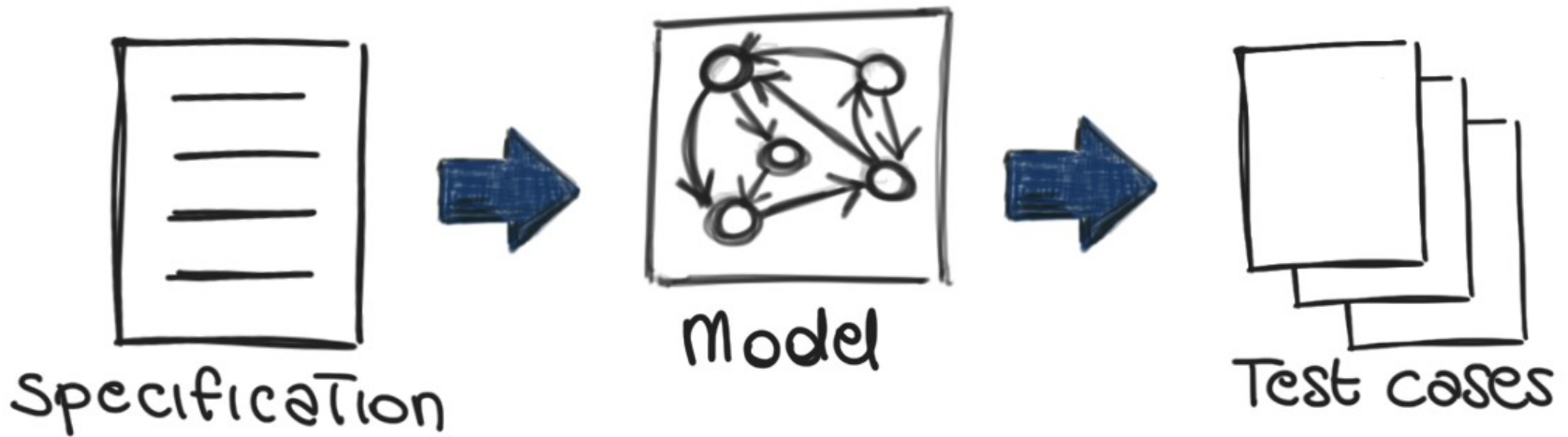


Specification



Test cases

MODEL-BASED TESTING

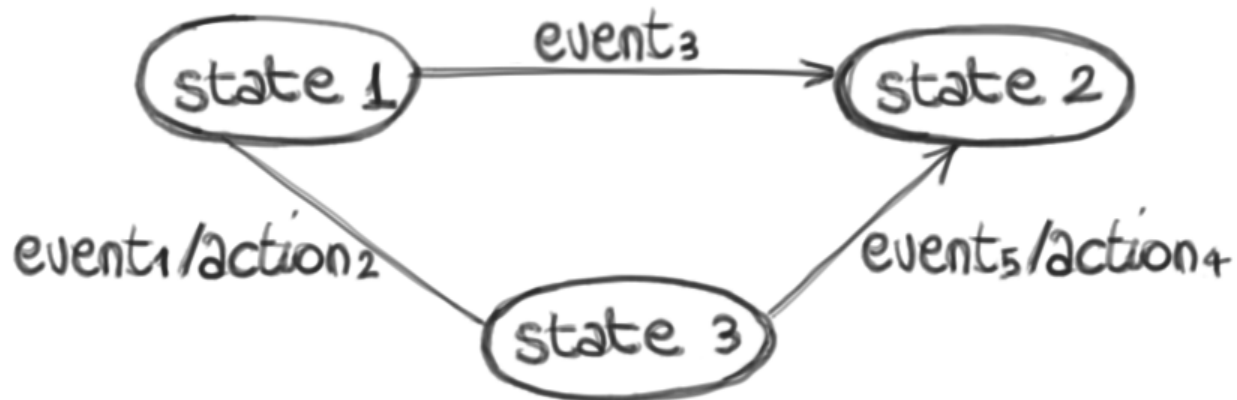


FINITE STATE MACHINES (FSM)

Nodes = states

Edges = transitions

Edge labels = events/actions

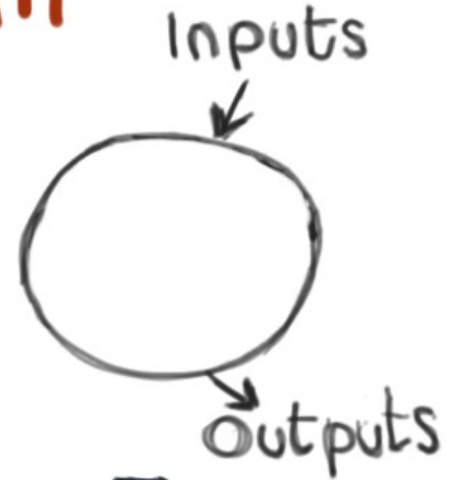
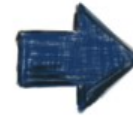


BUILDING AN FSM

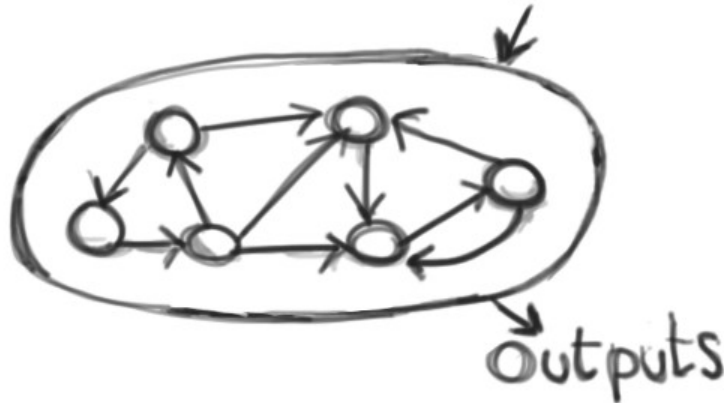


Identify system's boundaries, and input and output

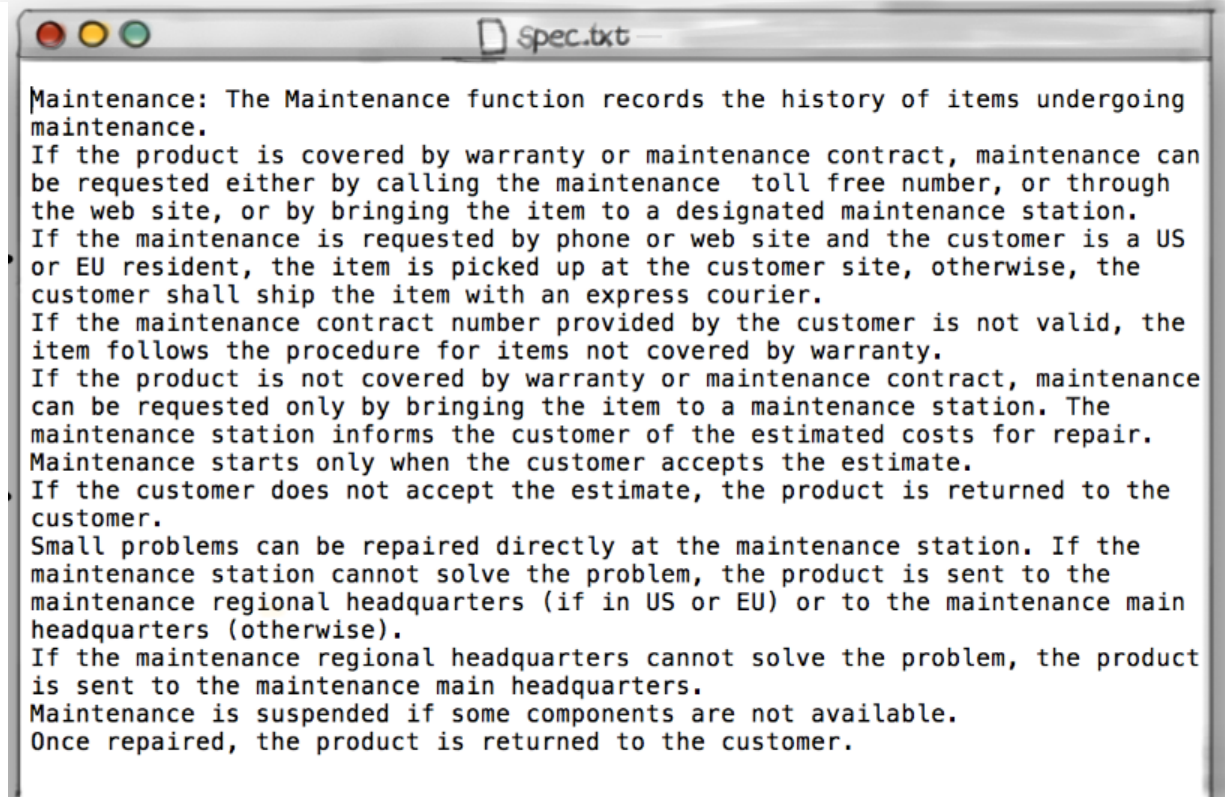
Inputs



Identify relevant states and transitions



FROM AN INFORMAL SPECIFICATION...



```
spec.txt

Maintenance: The Maintenance function records the history of items undergoing
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customer shall ship the item with an express courier.
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Maintenance is suspended if some components are not available.
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```

FROM AN INFORMAL SPECIFICATION...

Multiple choices here

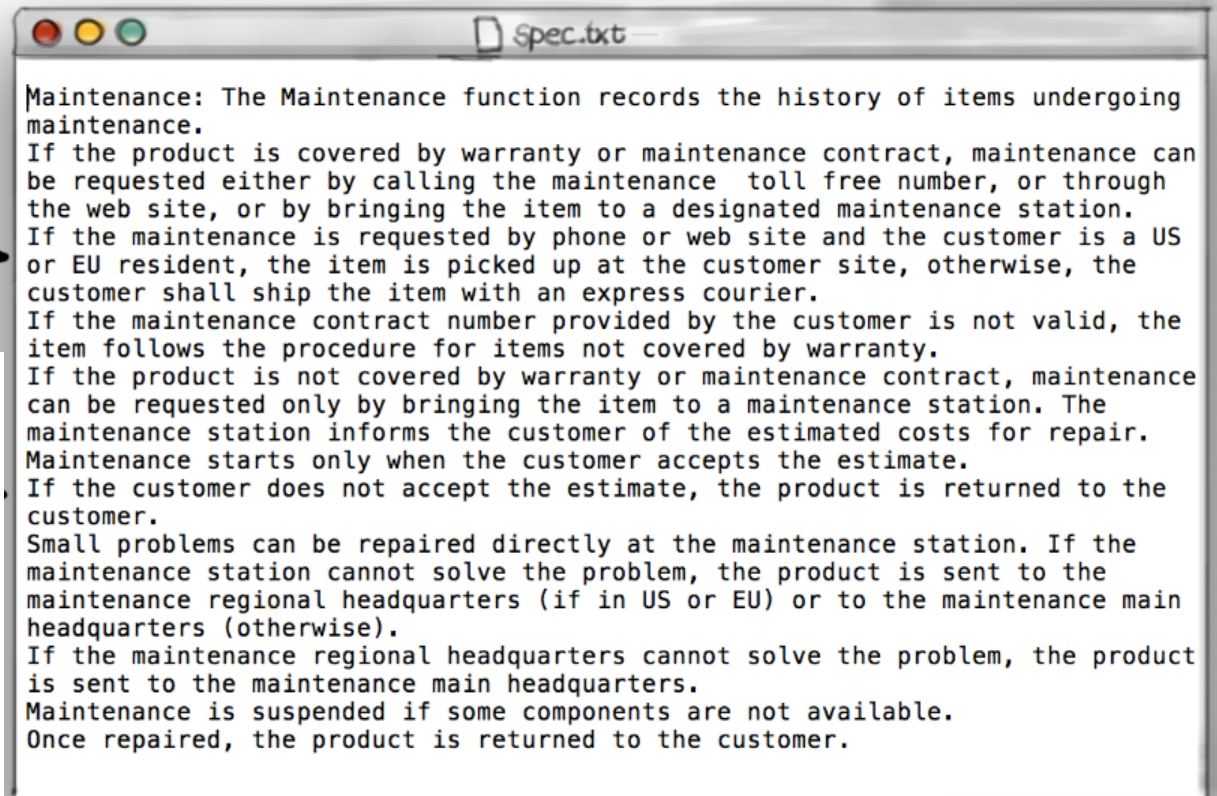


```
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FROM AN INFORMAL SPECIFICATION...

Multiple choices here

Determine the next step



```
spec.txt

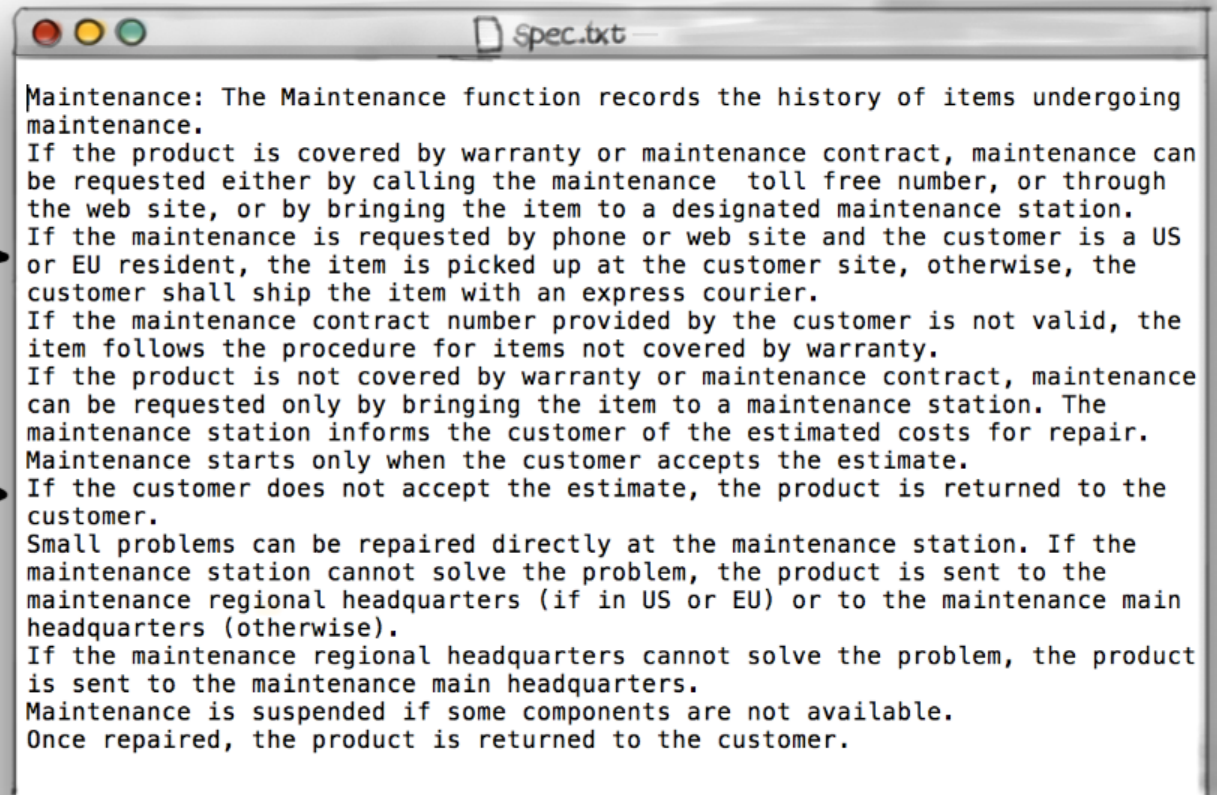
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FROM AN INFORMAL SPECIFICATION...

Multiple choices here

Determine the next step

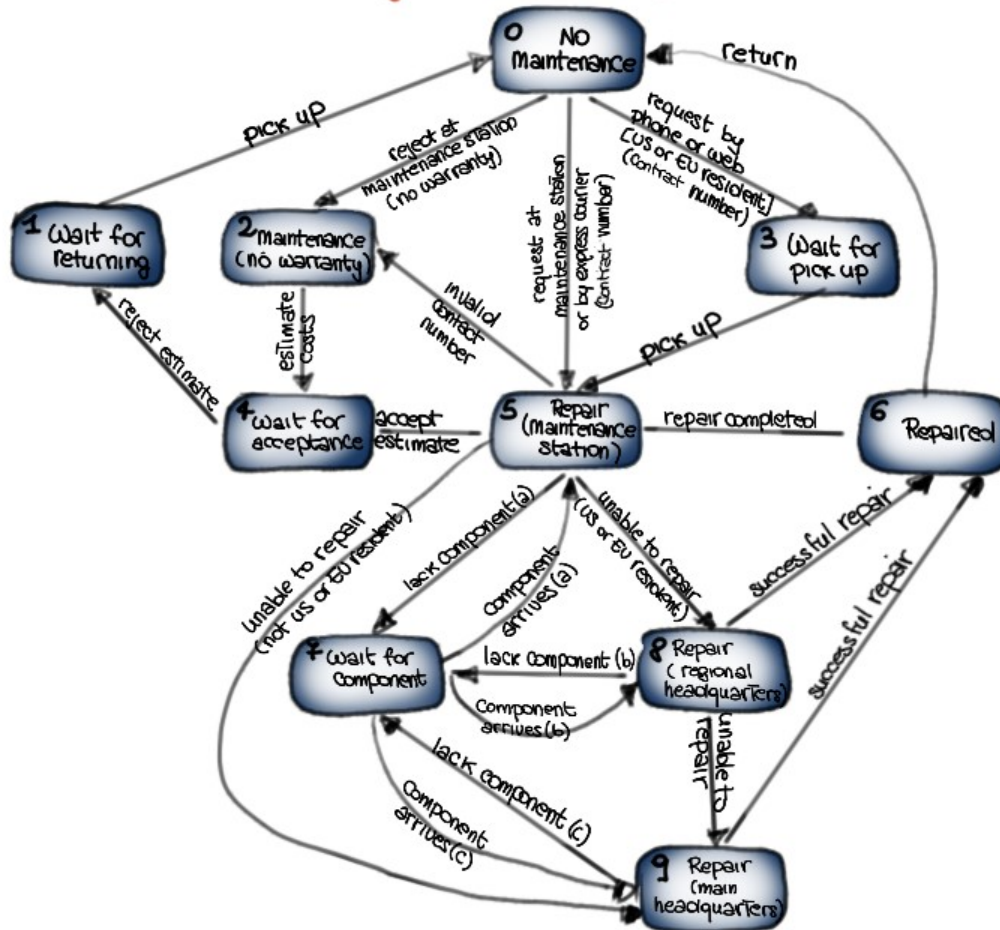
and so on



```
spec.txt

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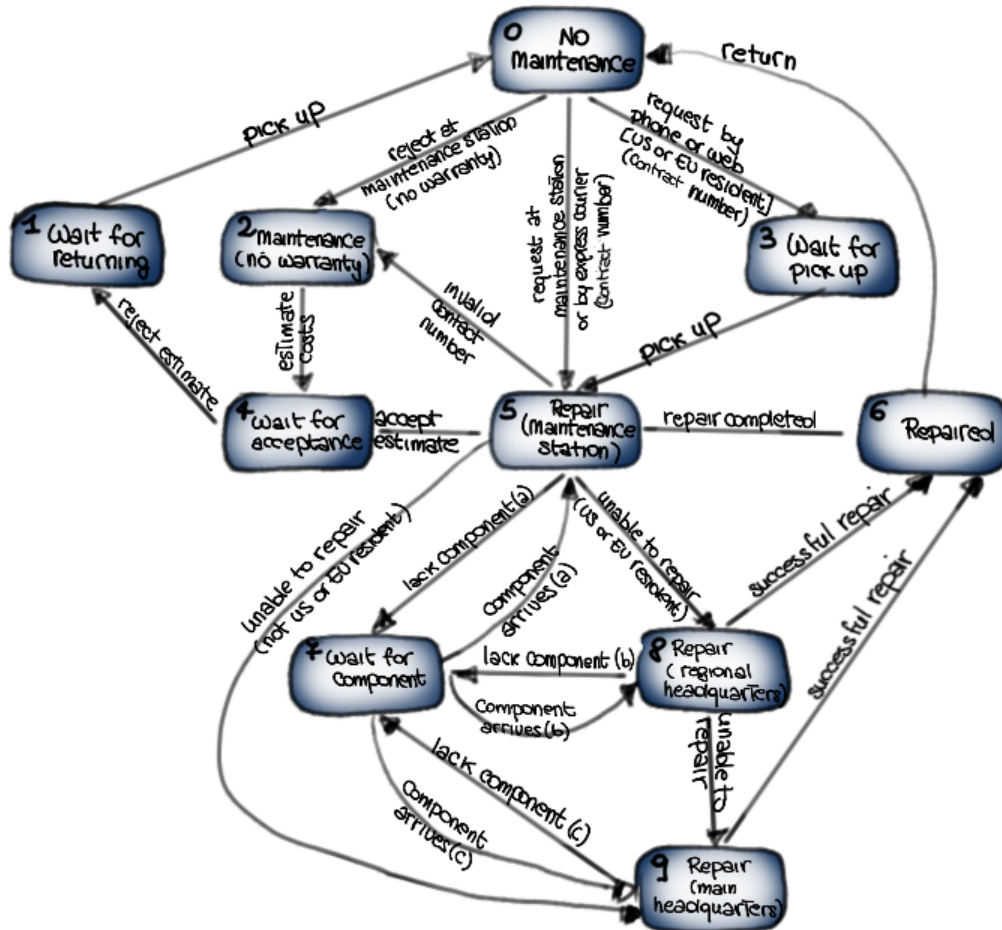
... TO A FINITE STATE MACHINE



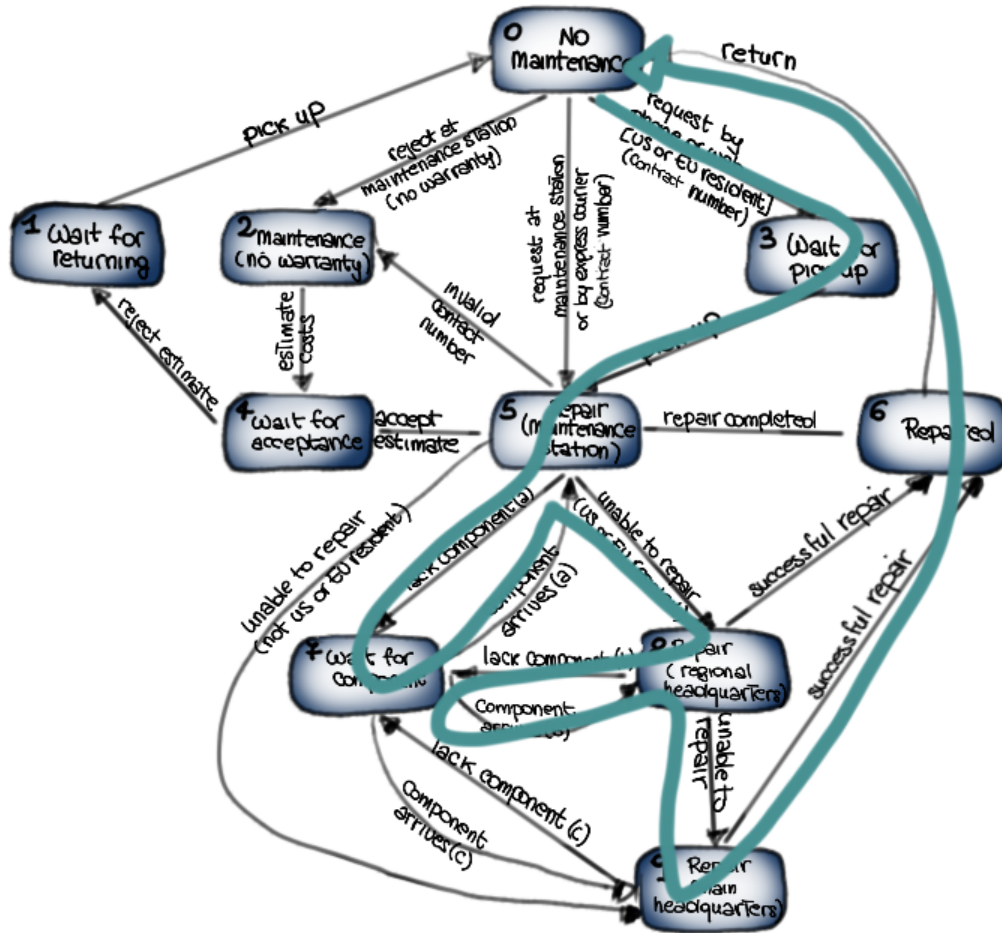
... TO A FINITE STATE MACHINE



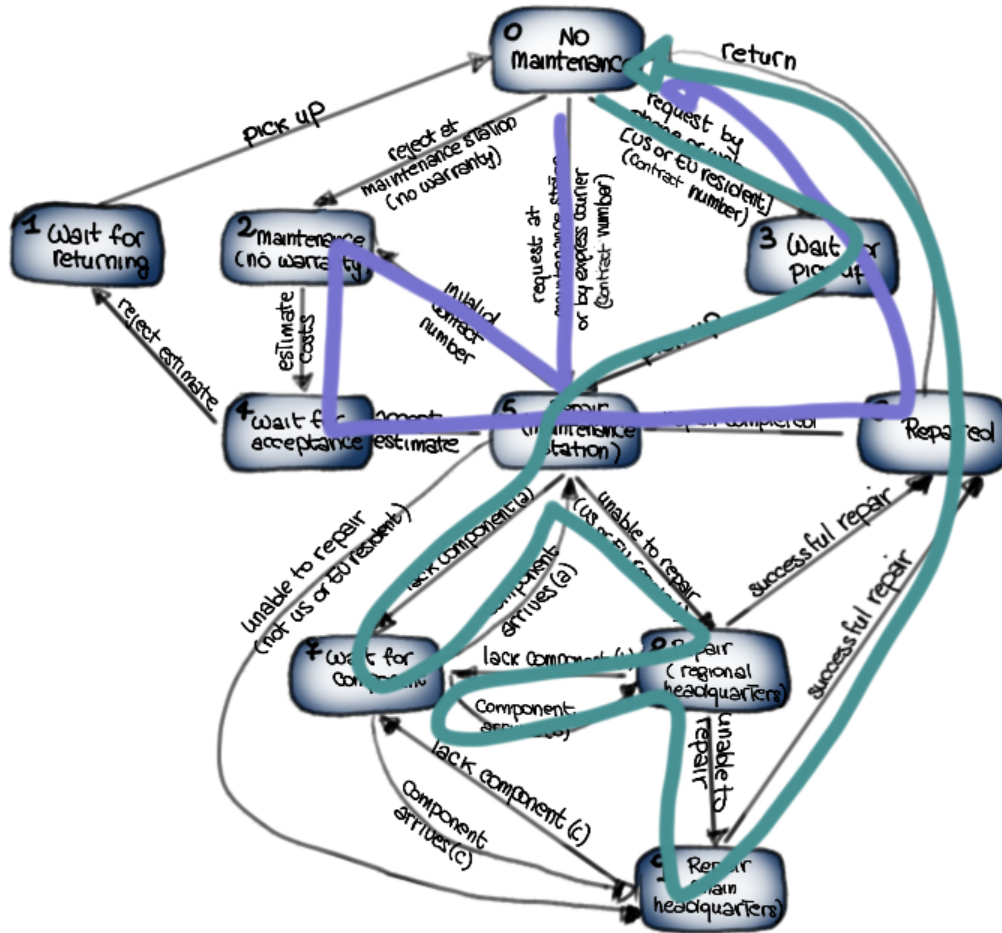
TO A SET OF TEST CASES



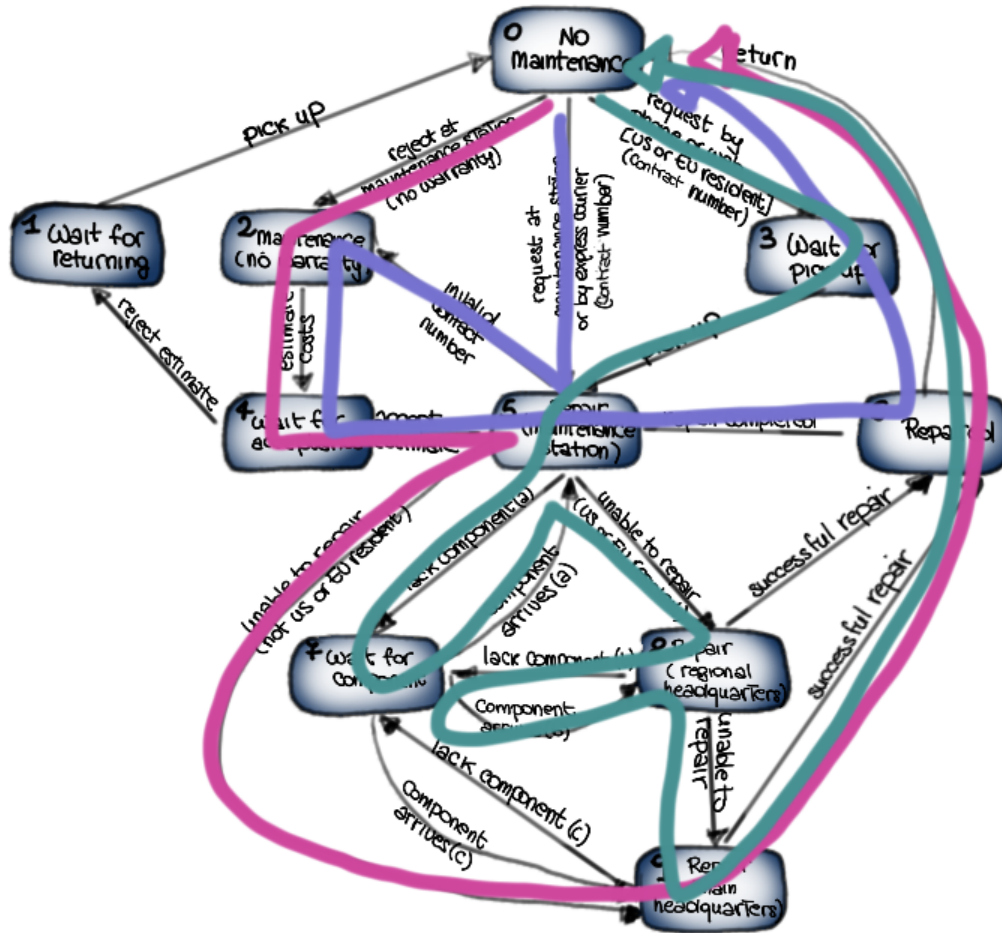
TO A SET OF TEST CASES



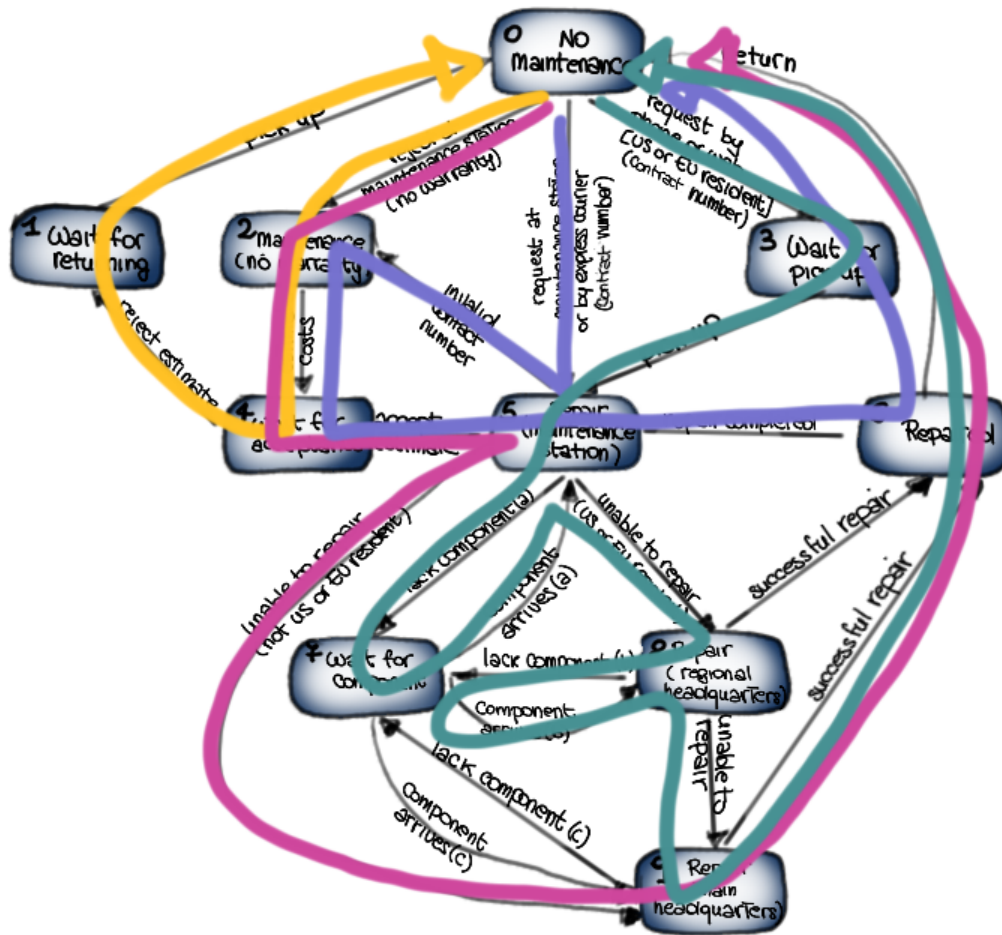
TO A SET OF TEST CASES



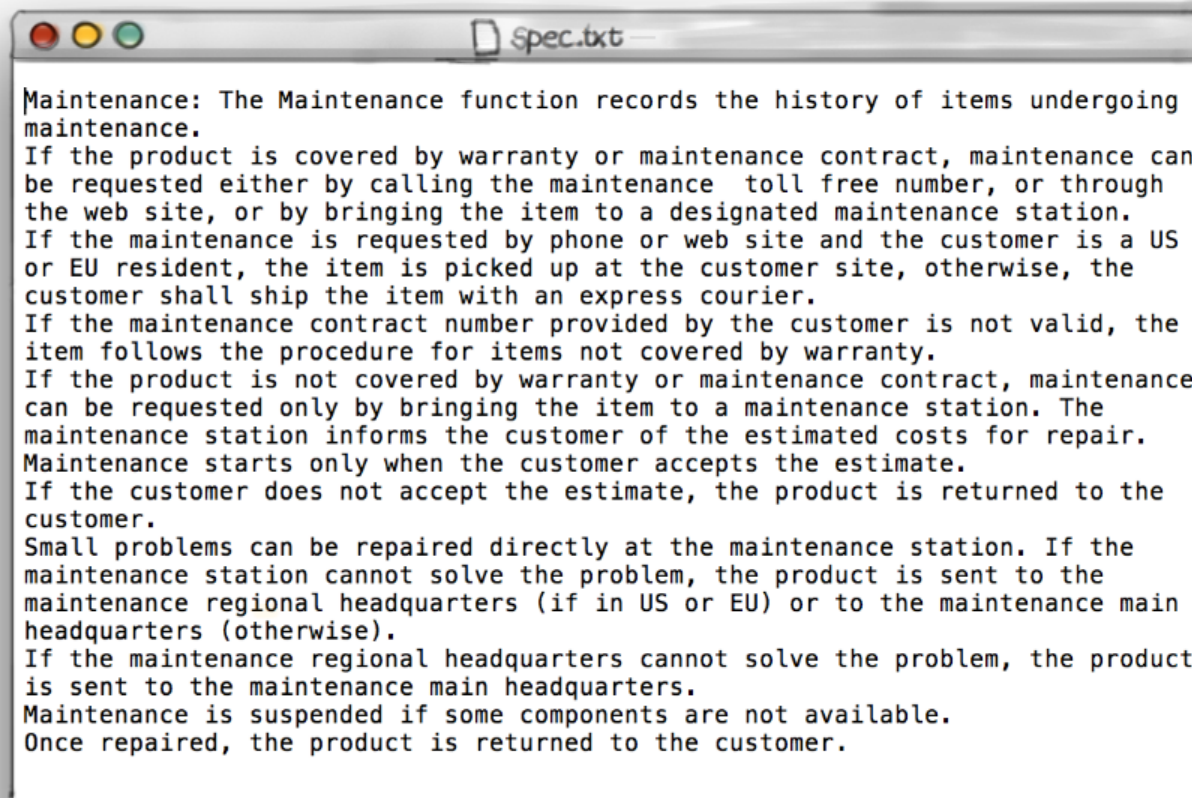
TO A SET OF TEST CASES



TO A SET OF TEST CASES



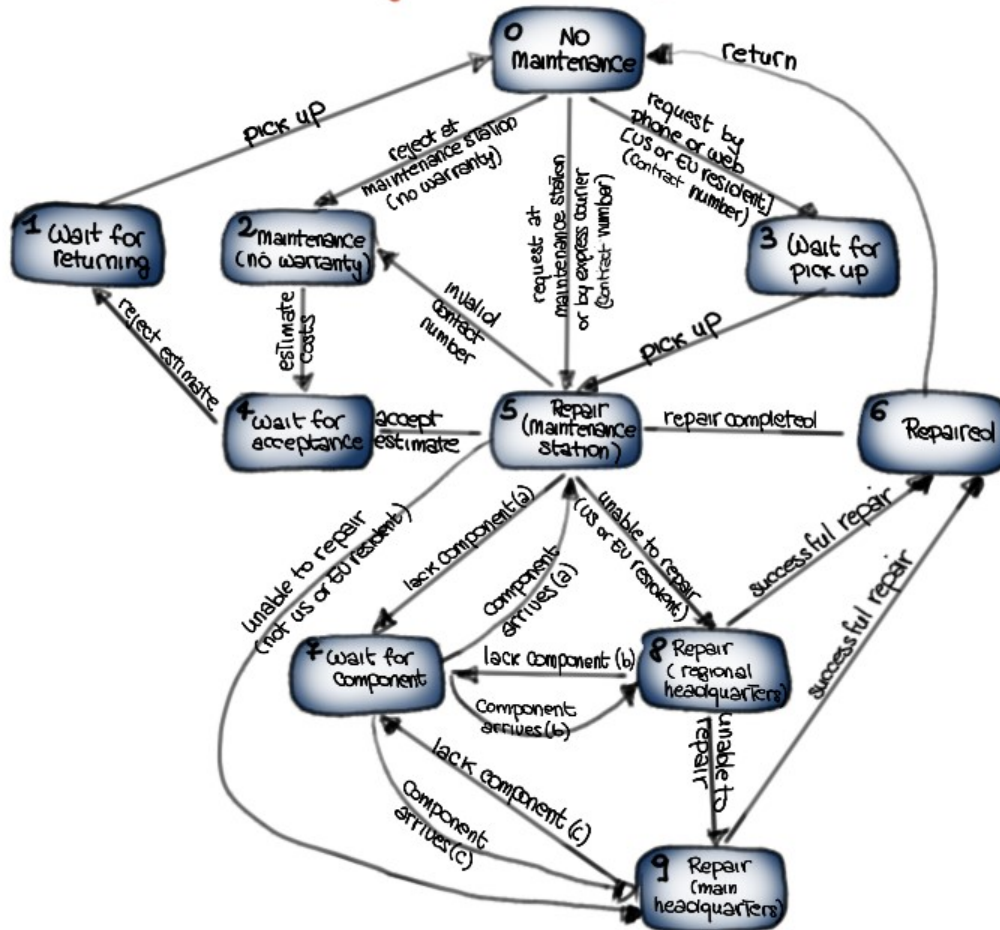
FROM AN INFORMAL SPECIFICATION...



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```


... TO A FINITE STATE MACHINE



SOME CONSIDERATIONS

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Applicability

- very general approach
- in UML, state machine are readily available

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Abstraction is key

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Abstraction is key

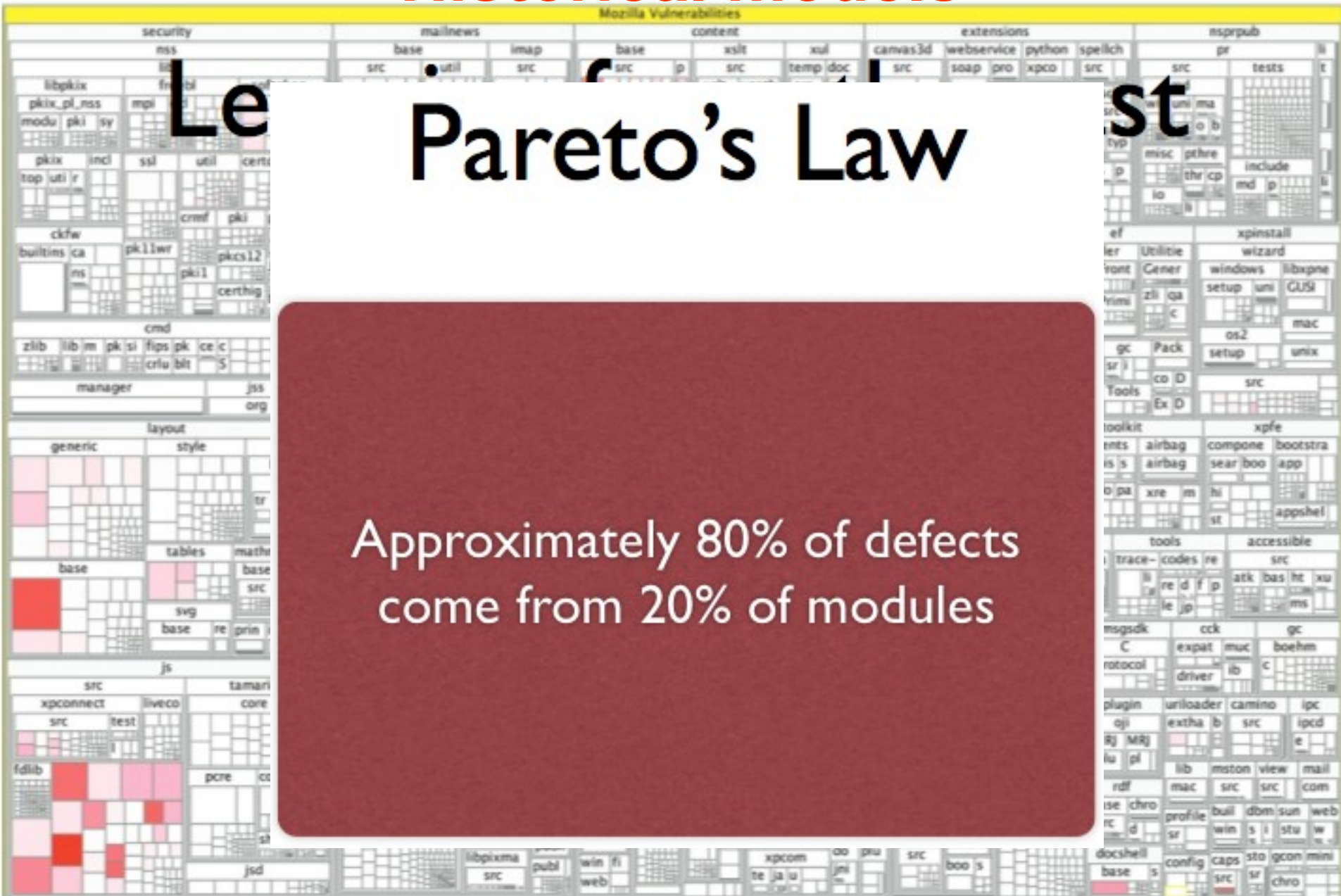
Many other approaches

- decision tables
- flow graphs
- historical models
- ...

Historical models

Le Pareto's Law st

Approximately 80% of defects come from 20% of modules



A SYSTEMATIC FUNCTIONAL-TESTING APPROACH

